### **REMARKS**

This communication is in response to the Office Action issued April 26, 2002. Claims 1-57 are pending in the application. The applicants have amended claims 1-8, 16, 17, 20-27, 35, 36, 39-46, 53, and 54 merely for the purpose of clarifying the scope of the claimed invention. Claims 58-72 have been added. A marked up version of the amendments made to the claims is provided in Appendix A. The Applicants believe that the application is now in condition for allowance. Reconsideration and allowance in view of the following is respectfully requested.

## A. Claim Objections

In sections 1-2 of the Office Action, the Examiner objected to claims 3-5, 22, 24-27 41, and 43 because of various informalities. By way of the present amendment, the Applicants have amended the claims to address the informalities raised by the Examiner. Accordingly, Applicants request that the Examiner reconsider and withdraw the objections to claims 3-5, 22, 24-27, 41, and 43.

## B. Rejection under 35 U.S.C. 112, second paragraph

In sections 3-5 of the Office Action, the Examiner rejected dependent claims 8, 27 and 46 under 35 U.S.C. 112, second paragraph as being indefinite. The Examiner has requested clarification of the method step in which "storing included obtaining administrative instruction from the administrator" since it depends on earlier claims where administration instructions are obtained and documents are stored. The claims have been amended to clearly set forth that documents are generated in response to a first set of administrative instructions and the documents generated are stored in response to a second set of administrative instructions. The applicants have amended claims 5-8, 25-27, and 44-46 to address the rejections to claims 8, 27, and 46. Accordingly, Applicants request that the Examiner reconsider and withdraw the rejections to claims 8, 27 and 46.

## C. Rejection under 35 U.S.C. 102

In sections 6-34 of the Office Action, the Examiner rejected claims 1-57 under 35 U.S.C. 102(e) as being anticipated by Linton (U.S Pat. No. 6,282,404). This rejection is respectfully traversed.

Amended claims 1, 20 and 39 now each recite inter alia, (i) determining personalized professional training information to provide based on a profile associated with the login data; and (ii) providing the personalized professional training information wherein the profile defines a user's unique training requirements for the business professional role. For example, a user is assigned a logon name and password. The password is employed during a verification process to determine the user's authorization to access training information, and if so, it is determined what are the user's training requirements based on a profile. The profile defines the user's training requirements based on past training and regulations defined by a regulatory agency. Each user has a unique profile, and thus, the training information provided to the user is personalized for each user. The user's training requirements are updated based on past training. The present invention, provide a novel platform for providing professionals with training that is specifically tailored to a user and not solely a class of users.

Linton discloses a training environment where users receive training information upon being granted access to the system. The users interaction with the training information is evaluated and saved. Reports are generated for each user for use by another or the same user. Linton does not teach or suggest determining personalized professional training information to provide based on a profile associated with the login data or providing the personalized professional training information wherein the profile defines a user's unique training requirements for the business professional role. Linton does not perform any determination as to what training information to provide the user base on a profile that uniquely specifies the training information that is required by the users. The information provided in Linton is the same for all users once they are granted access to the information. Accordingly, Linton fails to disclose or suggest all of the claimed elements recited in each of the claims 1, 20, and 39, and thus, does not anticipate claims 1, 20, and 39.

Claims 2-19, 21-38, and 40-72 depend from claims 1, 20 and 39 respectively, and

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thus, are not anticipated by Linton for at least the reasons set forth herein above.

## D. Conclusion

For the foregoing reasons, reconsideration and allowance of the pending claims is requested. If the Examiner has any questions about this Amendment and to facilitate prosecution, the Examiner is encouraged to call the undersigned attorney. The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment associated with this application to Deposit Account No. 19-5127 referencing 19046.0001.

Respectfully submitted,

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#### APPENDIX A

# MARKED-UP VERSION OF AMENDMENTS MADE TO CLAIMS

### In the Claims:

Kindly, AMEND the claims as follows.

 (AMENDED)A method for providing professional training information and compliance knowledge information to networked computers, the method comprising: obtaining login data;

providing compliance knowledge <u>for a business professional role</u> [to a one of the networked computer based on the login data];

[providing] <u>determining</u> personalized professional training information [associated with the compliance knowledge] to <u>provide</u> [the one of the networked computer] based on <u>a profile associated with</u> the login data; <u>and</u>

providing the personalized professional training information;

wherein the profile defines a user's unique training requirements for the business professional role. [generating compliance knowledge use data associated with use of the provided compliance knowledge; and

generating personal training activity data associated with use of the provided personalized professional training information.]

2) (AMENDED) The method of claim 1, further comprising generating personal training

activity data associated with use of the provided personalized professional training information, [wherein the generating of] the personal training activity data [includes] including [generating] training progress data and training performance data.

- 3) (AMENDED) The method of claim 2, further comprising recording [the compliance knowledge use data,] training progress data and training performance data in [associated] association with the login data.
- 4) (AMENDED)The method of claim 3, further comprising storing the recorded [the compliance knowledge use data,] training progress data and training performance data.
- 5) (AMENDED)The method of claim 4, further comprising generating documents based on the recorded [the compliance knowledge use data,] training progress data and training performance data.
- 7) (AMENDED)The method of claim 5, further comprising obtaining a first set of administrative instructions, and wherein the generating of documents includes generating the documents in accordance with the first set of administration instructions.
- 8) (AMENDED)The method of claim 6, further comprising storing [of] the generated documents in a database.

- 9) (AMENDED)The method of claim 7, wherein the storing includes obtaining <u>a second set</u> of administration [instruction] <u>instructions</u> from [the] <u>an</u> administrator.
- 16)(AMENDED)The method of claim 1, further comprising providing compliance management based on the login data.
- 17)(AMENDED)The method of claim 16, wherein the providing of compliance management includes assigning [a] the profile.
- 20) (AMENDED) A method of doing business for providing professional training information and compliance knowledge information to networked computers, the method of doing business comprising:

obtaining login data;

providing compliance knowledge <u>for a business professional role [to a one of the networked computer based on the login data];</u>

[providing] <u>determining</u> personalized professional training information [associated with the compliance knowledge] to provide [the one of the networked computer] based on <u>a profile associated with</u> the login data; <u>and</u>

providing the personalized professional training information;

wherein the profile defines a user's unique training requirements for the business professional role. [generating compliance knowledge use data associated with use of the provided compliance knowledge; and

generating personal training activity data associated with use of the provided personalized professional training information.]

- 21)(AMENDED) The method of doing business of claim 20, further comprising generating personal training activity data associated with use of the provided personalized professional training information, [wherein the generating of] the personal training activity data [includes] including [generating] training progress data and training performance data.
- 22)(AMENDED) The method of doing business of claim 21, further comprising recording the [compliance knowledge use data,] training progress data and training performance data in [associated] association with the login data.
- 23)(AMENDED)The method of doing business of claim 22, further comprising storing the recorded [the compliance knowledge use data,] training progress data and training performance data.
- 24)(AMENDED)The method of doing business of claim 23, further comprising generating documents based on the recorded [the compliance knowledge use data,] training progress data and training performance data.
- 25) (AMENDED) The method of doing business of claim 24, further comprising obtaining a

<u>first set of administrative instructions</u>, and wherein the generating of documents includes generating the documents in accordance with the <u>first set of</u> administration instructions.

- 26)(AMENDED)The method of doing business of claim 25, further comprising storing [of] the generated documents in a database.
- 27)(AMENDED)The method of doing business of claim 26, wherein the storing includes obtaining a second set of administration [instruction] instructions from [the] an administrator.
- 35)(AMENDED)The business method of claim 20, further comprising providing compliance management based on the login data.
- 36)(AMENDED)The business method of claim 35, wherein the providing of compliance management includes assigning [a] the profile.
- 39) (AMENDED)A system for providing professional training information and compliance knowledge information, the system comprising:
  - a computer for providing login data;
  - a network;
  - a server, coupled to the computer and the network, for:
    - providing compliance knowledge for a business professional role [to a one of

the networked computer based on the login data];

[providing] <u>determining</u> personalized professional training information [associated with the compliance knowledge] to provide [the one of the networked computer] based on <u>a profile associated with</u> the login data; <u>and</u>

providing the personalized professional training information;

wherein the profile defines a user's unique training requirements for the business professional role. [generating compliance knowledge use data associated with use of the provided compliance knowledge; and

generating personal training activity data associated with use of the provided personalized professional training information.]

- 40)(AMENDED)The system of claim 39, further comprising the server for generating personal training activity data associated with use of the provided personalized professional training information, [wherein the generating of] the personal training activity data [includes] including [the server for generating] training progress data and training performance data.
- 41)(AMENDED) The system of claim 40, further comprising the server for recording the [compliance knowledge use data,] training progress data and training performance data in [associated] association with the login data.
- 42)(AMENDED) The system of claim 41, further comprising a database, coupled to the

server, for storing the recorded [the compliance knowledge use data,] training progress data and training performance data.

- 43)(AMENDED) The system of claim 42, further comprising the server for generating documents based on the recorded [the compliance knowledge use data,] training progress data and training performance data.
- 44)(AMENDED) The system of claim 43, further comprising the computer for providing a <u>first set of administrative instructions</u>, and wherein the generating of documents includes the server for generating the documents in accordance with the <u>first set of administration</u> instructions.
- 45)(AMENDED) The system of claim 44, further comprising the database for storing [of] the generated documents.
- 46)(AMENDED) The system of claim 45, wherein the storing includes the computer for providing a second set of administration instructions.
- 53(AMENDED) The system of claim 39, further comprising the server for providing compliance management based on the login data.
- 54)(AMENDED) The system of claim 54, wherein the providing of compliance management

includes the server for assigning [a] the profile.

Kindly ADD new claims as follows:

- 58)(NEW) The method of claim 1, further comprising generating compliance knowledge use data associated with use of the provided compliance knowledge.
- 59)(NEW) The method of claim 58, further comprising recording the compliance knowledge use data in association with the login data.
- 60)(NEW) The method of claim 59, further comprising storing the recorded compliance knowledge use data.
- 61))(NEW) The method of claim 60, further comprising generating documents based on the recorded compliance knowledge use data.
- 62)(NEW) The method of claim 1, further comprising providing updated personalized professional training information based on the amount of personalized professional training information completed.
- 63)(NEW) The business method of claim 20, further comprising generating compliance knowledge use data associated with use of the provided compliance knowledge.
- 64)(NEW) The business method of claim 63, further comprising recording the compliance knowledge use data in association with the login data.
- 65)(NEW) The business method of claim 64, further comprising storing the recorded compliance knowledge use data.

- Attorney Docket No. 19046.0001 Application No. 09/675,155 66))(NEW) The business method of claim 60, further comprising generating documents based on the recorded compliance knowledge use data.
- 67) The business method of claim 20, further comprising providing updated personalized professional training information based on the amount of personalized professional training information completed.
- 68)(NEW) The system of claim 39, further comprising generating compliance knowledge use data associated with use of the provided compliance knowledge.
- 69)(NEW) The system of claim 68, further comprising recording the compliance knowledge use data in association with the login data.
- 70)(NEW) The system of claim 69, further comprising storing the recorded compliance knowledge use data.
- 71))(NEW) The system of claim 70, further comprising generating documents based on the recorded compliance knowledge use data.
- 72)(NEW) The system of claim 39, further comprising the server for providing updated personalized professional training information based on the amount of personalized professional training information completed.